

PRIVACY NOTICE FOR CUSTOMERS OF Holland Capital

(Revised February, 2016)

WHAT DOES Holland Capital DO WITH YOUR PERSONAL INFORMATION?

Financial companies choose how they share your personal information. Federal law gives our clients the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

Personal information we collect. We collect personal information about you from sources in connection with our providing advisory services to you. This information includes:

- Social Security and Taxpayer Identification Numbers;
- Account balances and transaction history; and
- Addresses.

How we collect this information. We collect this information from you through various means. For example when you give us your contact information or enter into an investment advisory contract with us. We also may collect your personal information from other companies to assist in providing advisory services to you.

How we use this information. All financial companies need to share customers' personal information to run their everyday business and we use the personal information we collect from you for our everyday business purposes. These purposes may include for example:

- To provide advisory services to you.
- To process a transaction for your account.
- To market products and services to you.
- To respond to court orders and legal investigations.

Disclosure to others. We may provide your personal information to firms that assist us in servicing your account and have a need for such information, such as brokers, custodians, and transfer agents. We may also disclose such information to service providers and financial institutions with whom we have joint marketing arrangements (i.e., a formal agreement between nonaffiliated financial companies that together market financial products or services to you, such as placement agents). We require third-party service providers and financial institutions with which we have joint marketing arrangements to protect the confidentiality of your information and to use the information only for the purposes for which we disclose the information to them. These sharing practices are consistent with Federal privacy and related laws, and in general, you may not limit our use of your personal information for these purposes under such laws. We note that the Federal privacy laws only give you the right to limit certain types of information sharing that we do not engage in (e.g., sharing certain information relating to your transaction history or creditworthiness or any personal information with non-affiliates for them to market to you).

How we protect your personal information. To protect your personal information from unauthorized access and use, we use and require our joint marketers and service providers to use security measures that comply with Federal law. These measures include computer safeguards and secured files and buildings.

Who to contact with questions. This is Holland Capital's privacy notice and if you have any questions about this Privacy Notice, call (312) 553-4830 or go to www.hollandcap.com